

## INSTRUCTIONS

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In order to open a trading account with ADM Investor Services, Inc. ("ADMIS") the following documentation is required:

1. Customer Account Application

(a) Customer MUST complete one of the following four sections as applicable:

**A. INDIVIDUAL / JOINT or SOLE PROPRIETORSHIP**

**B. CORPORATION or LIMITED LIABILITY COMPANY**

**C. PARTNERSHIP ACCOUNT**

**D. TRUST ACCOUNT**

The applicable section MUST be completed thoroughly, signed and dated by the customer certifying that the information provided is true and accurate.

(b) **CONFIDENTIAL CREDIT INFORMATION** Each customer MUST complete Section E. If the Account type is a Joint Account, each party in the Joint Account MUST complete this section.

(c) **IRS Form W-9 U.S. Customers:** Each U.S. customer MUST complete the IRS Form W-9 Certification.

(d) **IRS Form W-BEN Non-U.S. Customers:** Each Non-U.S. customer MUST complete a W-8BEN for Personal Accounts or a W-8ECI, W-8EXP or W-8IMY for Non-Personal Accounts. (See broker for Supplemental Forms)

2. **CUSTOMER AGREEMENT:** This is a contractual agreement. Please read the Customer Agreement carefully. The customer MUST sign and date the Customer Agreement. If the account type is a General Partnership or Joint Account, each individual General Partner or Joint Account Holder MUST sign and date the Customer Agreement.
3. **RISK DISCLOSURE STATEMENT FOR FUTURES AND OPTIONS:** Each customer MUST sign and date the Risk Disclosure Statement for Futures and Options and receive a separate copy of this Risk Disclosure
4. **RISK DISCLOSURE STATEMENT FOR FOREIGN EXCHANGE:** Each customer MUST sign and date the Risk Disclosure Statement for Foreign Exchange and receive a separate copy of this Risk Disclosure.
5. **ELECTRONIC TRADING AND ORDER ROUTING SYSTEMS DISCLOSURE STATEMENT** Before you engage in transactions using an electronic system, you should carefully review the rules and regulations of the exchange(s) offering the system and/or listing contracts you intend to trade.
6. **HEDGE ACCOUNT REPRESENTATION LETTER** Complete by explaining the nature and extent of customer business and by indicating and certifying the commodities to be traded by the bona fide hedger. The customer MUST indicate if he would or would not prefer that a trustee liquidate the account in the unlikely event of broker bankruptcy. The customer MUST sign and date as indicated.
7. **ARBITRATION and ADDITIONAL RISK DISCLOSURE:** If Customer chooses to agree to have any potential dispute settled by arbitration, he needs to sign and date the Arbitration agreement document where indicated. If Customer meets any of the criteria listed in the Additional Risk Disclosure Document, he MUST date and sign this form where indicated.
8. **CORPORATE RESOLUTION** The Corporate Resolution MUST be completed thoroughly. The Corporation MUST indicate the officers authorized to trade in commodities. The Corporate Authorization MUST be signed and dated by the Secretary of the Corporation and be affixed with or bear the Corporate Seal.
9. **LIMITED LIABILITY COMPANY AUTHORIZATION** The Limited Liability Company Authorization must be completed thoroughly and indicate all parties authorized to trade in the account.
10. **PARTNERSHIP AUTHORIZATION** The Partnership Authorization must be completed thoroughly and indicate all parties authorized to trade in the account.
11. **APPLICATION TO RECEIVE CUSTOMER STATEMENT AND INFORMATION BY EMAIL** The customer must sign this application in order to receive daily and monthly trade confirmations and purchase and sale statements ("Customer Information") via email.
12. **LETTER OF TRANSFER** The customer MUST complete by indicating the name and address of the delivering broker along with the account number. The customer MUST also furnish his name and address and sign and date as indicated.

To help the government fight the funding of terrorism and money laundering activities, Federal law requires all financial institutions to obtain, verify, and record information that identifies each person who opens an account. What that means for you: When you open an account, we will ask for your name, address, date of birth and other information that will allow us to identify you. We may also ask to see your driver's license or other identifying documents.

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**Note: Checks must be made payable only to:** ADM Investor Services, Inc.  
Suite 1600A Board of Trade Building  
141 W. Jackson Boulevard  
Chicago, Illinois 60604-3190